

Major Non Conformity Close Out

Long Acres, Waterloo Road, Wokingham, RG40 3DA
 Phone number for the office is 01189291444
 9.00 – Stuart Cawthorne – Transport Manager
 10.30-11.00 – Clive Owen – Director

1. Findings Raised surveillance 26/04.23 (003)

Total Number of Non-conformances Major Minor N/A

NCR N°	Details of Non-conformances Raised	Standard/ Clause N°	Major/ Minor	Completion Date
2.	Loo Hire/Wet Waste Depot: Gas cage adjacent to AI Waste office building, close to entrance/exit. Requirement: substitute with less hazardous processes, operations, materials or equipment;	ISO 45001:2018 Clause 8.1.2	Major	25/07/23
3.	Loo Hire/Wet Waste Depot: Generators which are filled with petrol are stored in storage sheds which also contain flammable liquids and various chemicals. Requirement: eliminate the hazard substitute with less hazardous processes, operations, materials or equipment;	ISO 45001:2018 Clause 8.1.2	Major	25/07/23
4.	Hydraulic oil drums (sealed and open) not banded. Requirement: implementing control of the process(es), in accordance with the operating criteria	ISO 14001:2015 Clause 8.1	Major	25/07/23
5	Loo Hire/Wet Waste Depot: No spill kits located adjacent to liquid storage areas. Requirement: prepare to respond by planning actions to prevent or mitigate adverse environmental impacts from emergency situations;	ISO 14001:2015 Clause 8.2	Major	25/07/23
6	Racking within storage shed Loo Hire/Wet Waste Depot has not been load tested. Requirement: The organization shall determine, provide and maintain the infrastructure necessary for the operation of its processes and to achieve conformity of products and services.	ISO 9001:2015 Clause 7.1.3	Major	25/07/23
7	Loo Hire/Wet Waste Depot No records for storage shed roller shutters being serviced/tested. Requirement: The organization shall determine, provide and maintain the infrastructure necessary for the operation of its processes and to achieve conformity of products and services.	ISO 9001:2015 Clause 7.1.3	Major	25/07/23
9	Loo Hire/Wet Waste Depot Walkways to separate vehicles/pedestrians are located/painted in the yard. Requirement: The organization shall establish a process(es) for the implementation and control of planned temporary and permanent changes that impact OH&S performance, including workplace locations and surroundings	ISO 45001:20918 Clause 8.1.2	Major	25/07/23
10	Loo Hire/Wet Waste Depot All storage sheds should have fire exit/fire points signage located. Requirement: The organization shall establish a process(es) for the implementation and control of planned temporary and permanent changes that impact OH&S performance, including workplace locations and surroundings	ISO 45001:20918 Clause 8.1.2	Major	25/07/23
11	Loo Hire/Wet Waste Depot Lifting chains/hooks/straps not recorded or tested (LOLER). Requirement: When measurement traceability is a requirement, or is considered by the organization to be an essential part of providing confidence in the validity of measurement results, measuring equipment shall be: calibrated or verified, or both, at specified intervals, or prior to use, against measurement standards traceable to international or national measurement standards; when no such standards exist, the basis used for calibration or verification shall be retained as documented information;	ISO 9001:2015 Clause 7.1.5	Major	25/07/23

Major Non Conformity Close Out

1.	<p>At the time of the audit the Fire Marshaller Certification had lapsed, training has been booked for recertification.</p> <p>Environmental emergency practise .exercise has not been conducted or documented.</p> <p>Requirement: ensure that workers are competent (including the ability to identify hazards) on the basis of appropriate education, training or experience.</p> <p>Requirement: periodically testing and exercising the planned response capability</p>	<p>ISO 45001:2018 Clause 8.2 and 7.2 ISO 14001:2015 Clause 8.2</p>	Minor	Next audit visit
8	<p>No ladder register in place or ladders tested and registered. All sites</p> <p>Requirement: The organization shall establish a process(es) for the implementation and control of planned temporary and permanent changes that impact OH&S performance, including workplace locations and surroundings.</p>	<p>ISO 45001:20918 Clause 8.1.2</p>	Minor	Next Audit visit
12	<p>No trained personnel for first aid or fire warden for A1 Loo Hire, however on site there are first aiders from other A1 Group companies.</p> <p>Requirement: ensure that workers are competent (including the ability to identify hazards) on the basis of appropriate education, training or experience.</p>	<p>ISO 45001:2018 Clause 8.2 and 7.2</p>	Minor	Next audit visit

The organisation's representative understands the above Non-conformances and agrees to determine the root cause(s) and implement appropriate corrections/corrective actions.

Major Non Conformity Close Out

Corrective Actions to close Major Nonconformity.

Opening / Closing Meetings

Stuart Cawthorne – Transport Manager

Clive Owen – Director

Sandra King – Alcumus ISOQAR Lead Auditor

Major NC 2 - Loo Hire/Wet Waste Depot: **Gas cage adjacent to AI Waste office building, close to entrance/exit.**

Corrective Action: Gas Cage has been moved behind the container by the fence.

Root Cause Always been there and an oversight.

Actions to Prevent Reoccurrence Gas Cage now in a safe placed away from buildings. Water Container next door to it.

Evidence to support



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Major NC 3 Loo Hire/Wet Waste Depot:

Generators which are filled with petrol are stored in storage sheds which also contain flammable liquids and various chemicals.

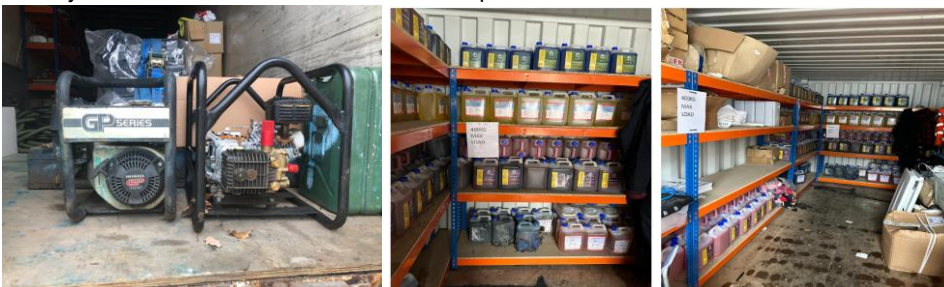
Corrective Action The got rid of a number of generators and now have two small ones.

Root Cause: Busy Depot. Oversight.

Actions to Prevent Reoccurrence : Always have generators stored without petrol in them.

Evidence to support

2 small generators seen in a container at the front, no petrol in them, they have a fuel tank with a spillage kit next door, so can fill as they need them. Various chemicals and liquids are now stored in another container and seen in the pictures below.



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Major Non Conformity Close Out

Major NC 4 - Hydraulic oil drums (sealed and open) **not banded**.

Corrective Action: Bought some bunds for the chemicals. 110% of the largest container.

Root Cause; Busy Depot

Actions to Prevent Reoccurrence Ensure 110% of largest container can be taken by the bund. No overloading.

Evidence to support

Banded Chemicals see photo below.



The chemicals were seen to be sufficiently stored on the bunds which can take 800L. Tanks are 205L x 3 on one bund.
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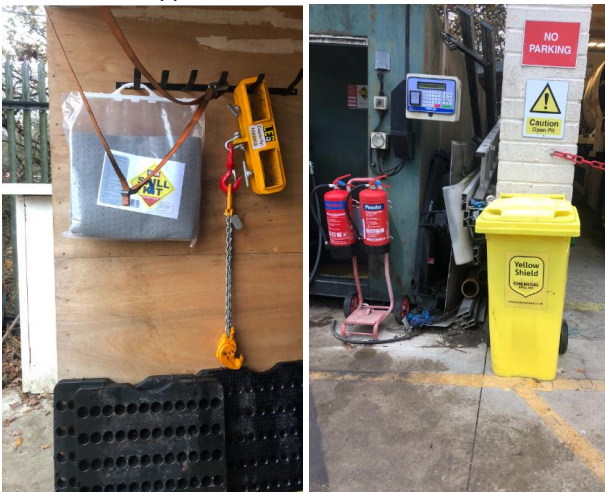
Major NC 5 - Loo Hire/Wet Waste Depot: **No spill kits located adjacent to liquid storage areas.**

Corrective Action Bought spillage kits and spillage dustbin located in suitable areas.

Root Cause: they had some but not enough.

Actions to Prevent Reoccurrence monitor usage and keep filled.

Evidence to support



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Major Non Conformity Close Out

Major NC 6 - Loo Hire/Wet Waste Depot: **Racking within storage shed Loo Hire/Wet Waste Depot has not been load tested.**

Corrective Action. New Racking bought to replace old racking.

Root Cause: Old racking needed replacing.

Actions to Prevent Reoccurrence : SWL 400Kg per level only light items stored.

Evidence to support

Racking invoice no. 000078001. SKU: MR0MB from Racking Solutions.

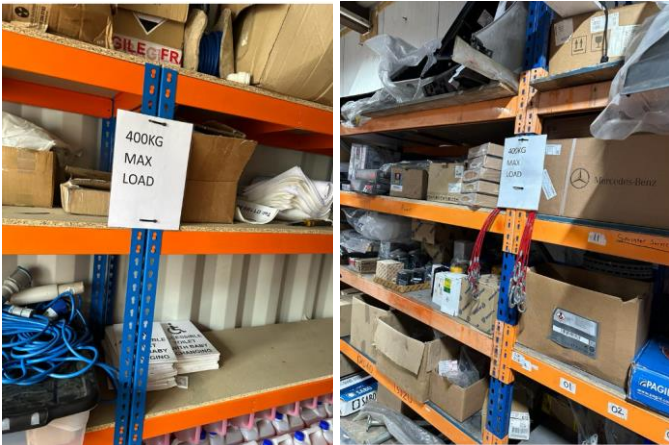
Order no. #1000083554 Invoice date 01/08/23.

5 Bays Heavy Duty Racking Blue and Orange 4 levels, 1800m H x 1800mm W x 4500mm D

Safe Working Load SWL 400Kg per shelf.

Safe Working Load identified on the Racking 400kg per shelf, confirmed by the Racking Solutions.

Photo evidence below. Other racks seen with 400Kg on them as well.



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Major NC 7 - Loo Hire/Wet Waste Depot **No records for storage shed roller shutters being serviced/tested.**

Corrective Action . Arranged for AC Garage doors to come in on 19/07/23.

Root Cause : Records were not available at time of audit.

Actions to Prevent Reoccurrence, there is a contract in place with them now to prevent this happening again.

Evidence to support

- Doors have been serviced by AC Garage Doors, 19/07/23 on invoice no. 14776. £2,050.00.
- Showed Door Fitted and complete. Repairs roller door. Result 6 no. PASSED.
- Racking inspections have been carried out by A1 - Dean C and dates of recent checks seen from 04/09/23 to 27/11/23 this also includes checks on ladders. All seen to be satisfactory.

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Major NC 9 - Loo Hire/Wet Waste Depot **Walkways to separate vehicles/pedestrians are located/painted in the yard.**

Corrective Action painted walkways.

Root Cause: Due to weather conditions always needs repainting. The yard was recently concreted at the time of the audit.

Actions to Prevent Reoccurrence: Monitor walkway condition on a monthly basis.

Evidence to support



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Major Non Conformity Close Out

Major NC 10 - Loo Hire/Wet Waste Depot **All storage sheds should have fire exit/fire points signage located.**

Corrective Action Fire Exit signs purchased and installed. fire man came in and did an assessments of the depot,

Root Cause: Too much stuff in the way and overloading of stores, they had some, some were covered.

Actions to Prevent Reoccurrence: Ensure signs are clear and visible, fire extinguishers serviced annually.

Evidence to support

Fire Risk Assessment 7/6/23 reviewed by Clive Owen

Serviced by R.E.S. Fire - 2/3/2023 purchased and serviced.

3 x Double Compact Red Stands

6lt Foam Extinguisher, 6kg Powder Extinguisher,

5 x Foam and 4 x Co2 Extinguisher ID Sign,

Certificate no 02EXT-5022 £622.40.

Clear fire exits with clear signage

Inside fire extinguishers

Outside extinguishers



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Major NC 11 - Loo Hire/Wet Waste Depot **Lifting chains/hooks/straps not recorded or tested (LOLER).**

Corrective Action : bought some Chain slings and Dolly attachment and new ratchet straps.

Root Cause : reviewed chains and straps and got rid of what they didn't need.

Actions to Prevent Reoccurrence, ensure regular LOLER certificate in place.

Evidence to support

RES Report no. LES-06682 issued for the first time.

- LES Lifting Equipment Store Report of Thorough Examination of Lifting Equipment 7/6/23
- Dolly YD202208092, Attachment JH202203055 Drum moving kit, Chain Slings WS202208004

Matthews Direct Imports Invoice to A1 Loo Hire Ltd. £222.00 on 27/July 2023 for the following.

- 5 Ton 8m ratchet straps
- 5Ton 10m Ratchet Straps.



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All the corrective actions were closed soon after the audit and a lot of records seen in July 2023.

Well done all the major non conformities have been addressed and closed.

Major Non Conformity Close Out

Minor Non conformity review

Minor NC1

At the time of the audit the Fire Marshaller Certification had lapsed, training has been booked for recertification.

Environmental emergency practise .exercise has not been conducted or documented.

Stewart Cawthorne Fire Warden Training 30/08/23 recommended renewal 29/08/24

Rebecca J – Fire Warden Training. 29/11/23 recommended renewal 28/11/24

Hight Speed Training ROSPA Assured, CPD Certified

Evacuation test carried out on August 16th. Record in place.

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Minor NC 10

No ladder register in place or ladders tested and registered.

Dean is carrying out a walkaround check and checks ladders seen completed 04/09/23 to 27/11/23 ladders are currently being number to tie to the register.

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Minor NC 12

No trained personnel for first aid or fire warden for A1 Loo Hire, however on site there are first aiders from other A1 Group companies.

First Aid trained A1 Loo Hire

- John Axton-H FAA Level 3 Award in Emergency First Aid at Work (RQF) 01/06/23 with Training at Work Group.
- Rebecca J – Emergency First Aid at Work 27/05/21 and valid until 26/05/24

Fire Warden – Stuart works across all areas here.

- Stuart Cawthorne Fire Warden Training 30/08/23 recommended renewal 29/08/24

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